Transilvania Investments

Eligibility conditions for the candidates to the position of member of the Supervisory Board of Transilvania Investments Alliance and content of the application file – O.G.M.S. JULY 9(10), 2026

Context

Given the items 2 and 3 on the agenda of the Ordinary General Meeting of Shareholders, namely:

"2. Subject to the approval by the shareholders of the item 2 of the E.G.M.S. agenda of July 9/10, 2026, approval of the establishment of a Supervisory Board consisting of 3 members and approval of 2 vacancies for the mandate between the date of authorization of the new members and the date of 19.04.2029.

3. Election of the members of the Supervisory Board for the vacant positions, for a mandate between the date of authorization of the Supervisory Board members by the Financial Supervisory Authority and 19.04.2029, the expiry date of the current mandate of the Board, under the conditions of the management contract and the remuneration approved by shareholders through the O.G.M.S. Resolution no. 1/16.12.2024. The Supervisory Board members will exercise their duties only after the Financial Supervisory Authority issues the authorization decision.",

The **Evaluation Committee of Transilvania Investments Alliance S.A.,** established in accordance with the O.G.M.S. Resolution no. 1 of 29.04.2026, approved this document regarding the submission of the application files for the election of the Supervisory Board members by the Ordinary General Meeting of Shareholders of **09/10.07.2026**.

Selection criteria

The selection process considers a set of essential criteria which are applicable to all candidates.

The criteria are structured as follows:

A. Knowledge, skills and professional experience

The candidate must have a solid professional profile, adapted to the responsibilities of the position and the specifics of the Company's activity. The following aspects are considered:

- Academic education and professional qualifications: the candidate must be a graduate with a bachelor's degree from a higher education institution, and the academic education and professional experience must be relevant to the field of activity (financial, legal, economic, administrative, investment, etc.). Added value is given to internationally recognised qualifications (e.g. CFA, ACCA, CIA) or those related to corporate governance, sustainability (ESG), auditing, risk management or compliance.
- Understanding of the Company's activity and the specific risks: the candidate must be familiar with the Company's business model and business areas, understand the related risks (market, operational, reputational, compliance, legal, etc.) and be able to contribute to the supervision of the regulated activities.

- Relevant professional experience: the professional history, previous management positions (executive or supervisory) and the complexity of the responsibilities held are analysed. Special attention is paid to experience in key areas such as strategic management, investments, capital market, audit, regulatory, risk management, sustainability, compliance or corporate law.
- Transversal skills and personal skills: analytical and synthesis skills, strategic thinking, decisional clarity, independent judgement, leadership, adaptability and teamwork skills are assessed. Importance is given to communication skills, responsibility and resilience in complex decision-making situations.
- Understanding of applicable regulations and duties of the position: the candidate must be familiar with the relevant legal and regulatory requirements, both at the national and European level – with a focus on capital market regulations, corporate governance, portfolio management, auditing, anti-money laundering, ESG reporting and compliance regimes.
- Suitability for the position: for non-executive functions, the strategic experience and supervisory capacity in conditions of efficiency, prudence and integrity prevail.

B. Reputation, honesty and integrity

Integrity is a fundamental requirement for a leadership position. The assessment of reputation is essential and aims to eliminate any risk of damage to the Company's image or the confidence of the public and investors in the management structure.

The following aspects are analysed:

- Legal and administrative history: the candidate must not have been convicted of criminal acts and must not be/have been prosecuted *in personam* (facts regarding economic crimes, breach of trust, money laundering, fraud, corruption, crimes against property or other facts that question their integrity have an important weight). Any disciplinary or administrative sanctions imposed by regulatory authorities or entities shall also be assessed.
- Previous professional performance and history in relevant entities: the candidate's participation in distressed or dissolved entities, involvement in insolvency proceedings, withdrawals of authorisations or governance failures, including the context of these situations and how the candidate has managed the responsibilities assumed are also assessed.
- Professional ethics, transparency and compliance culture: the candidate must have consistently demonstrated ethical behaviour, transparency towards the supervisory authority and compliance with the governance and compliance principles. Adherence to organizational values such as responsibility, prudence, objectivity, and commitment to investors' interests is essential.
- Conflict of interest and statements of interests: the candidate must honestly declare any economic, professional or personal interest that could interfere with the duties of the position. The history in managing such situations and the degree of transparency previously shown are analysed.

C. Governance

This pillar refers to the candidate's real capacity to contribute to the proper functioning of the corporate governance framework, in compliance with the reasonableness, responsibility and sustainability principles.

The following aspects are analysed:

- Availability and effective involvement: the candidate must be able to allocate the necessary time for active participation in the meetings of the governing body and advisory committees, and in the supervisory and decision-making processes. The plurality of offices, other professional commitments and possible incompatibilities are analysed.

- Avoidance and management of conflicts of interest: the risks of incompatibility with other positions held or economic relations that may influence objectivity are analysed. If a possible conflict is identified, clear and verifiable avoidance or mitigation measures must be in place, in accordance with the internal governance policy.
- Independence and independent thinking: it is essential that members can exercise their mandate with impartiality and freedom of opinion, autonomously from the executive management, significant shareholders or other stakeholders.

  Independence is assessed also by reference to the independence criteria established by the Bucharest Stock Exchange Corporate Governance Code, in order to ensure compliance with the number of independent members.
- Alignment with the organisation's values and governance culture: the candidate must demonstrate commitment to the organisational culture, promote transparency, respect for norms and loyal cooperation in the decision-making process.

D. Adequacy to the collective profile of the management structure

The assessment of adequacy is not limited to the individual qualities of the candidate but also involves their integration into the overall context of the management structure. The aim is to establish a competent, diverse, balanced and efficient body from a functional and decision-making point of view. The following aspects are considered:

- Diversity of competences: the composition of the board structure must reflect the coherent coverage of all relevant areas (investment strategies, legal, regulatory, finance, audit, ESG, IT, compliance, risks, etc).
- Diversity of perspectives: the balance between genders, ages, international and educational experiences is encouraged, as well as openness to complementary approaches in decision-making.
- Operating as a team: the new candidate's ability to cooperate with other members, to contribute to the cohesion of the decision-making process and increase the decision-making efficiency of the entire structure are also assessed.

Content and verification of the application files

The application file for the position of Supervisory Board member shall contain the documents necessary for certifying the fulfilment of the conditions provided for by the applicable legal provisions, the F.S.A. Regulation no. 1/2019, this document and the Company’s Articles of Incorporation, as follows:

1. Proof of nomination for the position of Supervisory Board member by the current members of the Supervisory Board or by the shareholders, in accordance with art. 153^6 paragraph (2) of Law no. 31/1990 and Letter of intent, under handwritten signature/ qualified electronic signature*, through which the nominated person/the candidate requests the registration of their application file and their enrolment on the list/ballot paper;
2. Curriculum vitae, in Europass format, dated and with handwritten signature on each page of the document/qualified electronic signature*, which includes information on relevant education and training, professional experience, including the name of all the organizations the respective individual has worked for, the nature and duration of the duties performed, especially the activities that are relevant to the position concerned; in the case of positions held during the last 10 days,

when describing respective activities, details will be offered on all delegated powers, internal decision-making powers and areas of activity placed under the control of the person concerned or, as applicable, in which the person operated; if applicable, the authority supervising the entities within which the person has carried out the activity will be mentioned; the CV will be drafted in Romanian/English language;

3. Affidavit, under handwritten signature/qualified electronic signature*, drafted according to Annex no. 1 to the F.S.A. Regulation no. 1/2019, indicating the cumulative fulfilment of the following criteria:
   a. knowledge, skills and professional experience appropriate for the position concerned, in order to ensure a safe and prudent management of the Company;
   b. fulfilment of the conditions provided for by the legislation in force for the concerned position;
   c. appropriate level of knowledge, skills and experience relating to the identification, assessment and understanding of the AML/CTF risks which the regulated entity is exposed to, considering the business model and the AML/CTF policies and procedures;
   d. requirements regarding good reputation and integrity of the candidate, namely:
      - to have not been convicted or prosecuted in personam in cases related to crimes provided for by the financial and banking legislation, crimes provided for by the AML/CFT legislation, market manipulation, misuse of confidential information or corruption-related crimes; crimes against the patrimony or other crimes specific for the economic/financial field; crimes provided by the fiscal legislation; other crimes provided by the legislation on companies, bankruptcy, insolvency, as well as consumer protection;
      - to have not been subject to previous or ongoing relevant administrative investigations or inquiries, law enforcement actions or administrative sanctions for non-observance of the provisions applicable to the activities regulated by financial and banking legislation;
      - to have not been subject to previous or ongoing relevant investigations and law enforcement actions carried out by other regulatory or professional bodies;
      - to have not a professional conduct that gives rise to reasonable doubts as to the candidate's ability to perform the duties corresponding to the position concerned, in order to ensure the correct and prudent management of the Company, the relevant aspects being:
         - the candidate has failed to prove transparency, openness and cooperation in relation with regulating authorities;
         - the refusal, revocation, withdrawal of a membership approval or license to perform an activity or profession or the exclusion or interdiction imposed by a regulating authority to perform activities in a specific area;
         - the financial results of the entities owned or managed by the candidate or in which they held a majority shareholding; special attention will be granted to financial recovery procedures, bankruptcy, liquidation procedures and if and how the respective candidate has contributed to the situation leading to these procedures;
         - personal bankruptcy declaration, if applicable;
         - reasonable indications that the candidate is affiliated with a particular interest group or external influences, which has affected their previous professional activity, affects or could affect the fairness, independence or impartiality of decisions.
   e. to comply with governance requirements, namely:
      - not to exist a possible conflict of interests, namely the situation or circumstance that can occur during the operational or decisional process, in which the direct or indirect personal interest of the persons assessed within the regulated entity opposes its interests, to the

extent in which it affects or could affect the independence and impartiality in the decision-making process, the professional judgment or the due and objective fulfilment of undertaken duties, or which could affect, through its nature, the integrity or stability of the entity or financial market;

- not to exist restrictions and incompatibilities between the position held and the positions held within the Company or other entities, as defined by the specific legislation;
- not to be members of the board of directors/supervisory board or executive directors/members of the executive board of another A.I.F.M. or another Investment Management Company/Investment company of the self-managed U.C.I.T.S. type or of a Financial Investment Services Company/credit institution authorized to provide the services and activities provided in Annex no. 1 to Law no. 126/2018 with which the A.I.F.M. concluded a financial intermediation contract;
- not to be members of the board of directors/supervisory board or executive directors with duties related to the certification of the net asset value or other activities specific to the depositary within a legal person that performs the function of depositary for at least one of the managed collective investment undertakings;
- not to be employee or have any kind of contractual relationship with another A.I.F.M. or another Investment Management Company/Investment company of the self-managed U.C.I.T.S. type, except for other entities belonging to the same group within which they may hold a position other than that of director/member of the executive board;
- to be able to carry out the activity and allocate enough time therefor;
- to be able to fulfil their duties in an independent manner.

4. Affidavit on the existence/non-existence of conflict-of-interest situations, under handwritten signature/qualified electronic signature*;
5. Copy of the identity document of the candidate, certified by the ID holder's handwritten signature/qualified electronic signature* as a true copy of the original;
6. Copy of education certificate (higher education with bachelor's degree or graduation diploma, as appropriate) and of other relevant certificates, certified by the holder's handwritten signature/qualified electronic signature* as a true copy of the original;
7. Criminal record certificate and tax record certificate within the legal validity period or other equivalent document issued by the competent authorities in the country the candidate has established their domicile and/or residence, as well as in the home state, in case the domicile/residence is in other state that the home state; the persons having established their residence in Romania for less than 3 years shall submit the criminal and tax record certificates or other equivalent document issued by the competent authorities of the last countries in which they had previously established their domicile and/or residence;
8. List of executive and non-executive positions held to date by the candidate, under handwritten signature/qualified electronic signature*; in accordance with the Corporate Governance Code of B.S.E., the candidate shall provide information on the professional commitments and obligations, including executive and non-executive positions in companies, public institutions, public authorities, non-profit entities and other organizations;
9. Documents relating to the reputation and experience of the candidate, including letters of recommendation and contact details of people who can provide additional references;
10. Affidavit on the candidate's independence in relation to Transilvania Investments Alliance S.A. relative to the independence criteria, under handwritten signature/qualified electronic signature*.

*In case the application file is sent by e-mail

The application files may be sent by post/courier services or submitted to the registry office at the Company's headquarters where they will be registered in the chronological order of their sending/submission. **The Evaluation Committee** will verify the existence of all the necessary documents in the application file and documents certifying the fulfilment of the above-mentioned eligibility conditions.

Candidates who will not be selected will be notified in this respect and will have the opportunity to give their consent for their application files to be retained.

Candidates whose application files are complete will enter the preliminary adequacy assessment procedure. The candidates who obtain a minimum score of 4 points (of a maximum of 5 points) shall be considered adequate; the candidates who obtain a score lower than 4 shall be considered inadequate. The adequacy assessment methodology is based on the above-mentioned selection criteria and the applicable legal framework.

The candidates will be enrolled on the list to be published and on the ballot papers in the chronological order of the submission of the application files to the company's headquarters.

The annexes which shall be part of the application file are attached to this document.

Annex no. 1

AFFIDAVIT

in accordance with Annex no. 1 to the F.S.A. Regulation no. 1/2019

(To be filled in by the persons[1] assessed)

No.	Subject/Question	Answer		Explanations				
		YES	NO					
1.	Regulated entity			Name	Registered office	Type of entity		
2.	Identity of the candidate (Foreign citizens must mention also the date on which they established or will establish their residence or domicile in Romania.)			Surname and name				
				Name, as indicated in the birth certificate (if different)				
				Personal Identification Number (CNP)				
				Series and number of the identity card, issuer and date of issuance				
				Date and place of birth				
				Citizenship/Nationality				
				Domicile and/or place of residence				
3.	Proposed position (For people with managerial duties, the activities to be coordinated/supervised by the candidate must be mentioned.)			Description of duties and responsibilities				
				Date of starting and duration of the mandate				
				Name and position of the person to be replaced by the candidate, if applicable				
3^1	Education and education certificates			Diploma (Bachelor/Master/Certificate of training attestation + field of study)/ Start and end date/ Education institution/ Form/type of education (university/academic/other form of professional training)				
4.	Professional training and relevant experience			Description of relevant aspects which contributed to the professional training and to the acquiring of experience relevant to the concerned position				
5.	Shareholder or associate during the last 10 years			1. Individual holdings[2]				
				Entity's name and activity		Participation share (%)		
				2. Holdings together with other people involved or with close links[3]				
				Entity's name and activity		Participation share (%)		
6.	Other administration or management responsibilities in the last 10 years, other than those specified in the CV			Entity's name and activity	Position	Period	Responsibilities	Results
7.	Assessments carried out by other authorities from Romania or other states, irrespective whether the assessment of the respective person was performed as a potential buyer or a person proposed for the management of a regulated entity			Authority's name	Date of assessment	Assessment results (proved with documents)		
8.	Conflicts with financial, banking and tax regulatory and supervisory authorities in Romania or other states: pending disputes or investigations; refusal of approval/registration; civil sanctions applied by such authorities or other actions ordered			Explanations:				
				For sanctions/actions ordered by authorities, please specify the data of application/type of sanction/committed offence/ordered action and, where applicable, time necessary to regain reliability as set out under the national laws:				

<table>
<tr><td rowspan="2">9.</td><td rowspan="2">Sanctions imposed as a result of professional, disciplinary, administrative or judicial enquiries, investigations or proceedings in Romania or other states.
(Please provide information even if rehabilitation was performed.)
Exclusion by a regulatory authority, governmental body or professional body or association.</td><td rowspan="2"></td><td rowspan="2"></td><td>Sanctioning authority</td><td>Sanctioned action</td><td>Sanction</td><td colspan="2">Date of sanction enforcement</td></tr>
<tr><td></td><td></td><td></td><td></td><td></td></tr>
<tr><td>10.</td><td>Registered incidents affecting reputation and integrity, including information regarding pending case files, investigations or criminal, civil and administrative proceedings or, as applicable, their absence</td><td></td><td></td><td colspan="5">Explanations:
Information regarding deeds punishable under pending proceedings /Details regarding civil, administrative or criminal proceedings pending before courts of law:</td></tr>
<tr><td>11.</td><td>Details on disciplinary sanctions for a job or position as director, fiduciary or similar relation - dismissal or termination/ revocation of mandate</td><td></td><td></td><td>Sanctioning entity</td><td>Object of sanction</td><td>Sanction</td><td colspan="2">Date of sanction enforcement</td></tr>
<tr><td rowspan="3">12.</td><td>Financial stability related aspects:</td><td></td><td></td><td colspan="5">Explanations:</td></tr>
<tr><td>- recordings in the tax record certificate;</td><td></td><td></td><td colspan="5"></td></tr>
<tr><td>- declaration of personal bankruptcy;</td><td></td><td></td><td colspan="5"></td></tr>
<tr><td>13.</td><td>Details on the intention to acquire a significant shareholding in the next 12 months in the capital of the regulated entity specified under item 1</td><td></td><td></td><td colspan="5"></td></tr>
<tr><td rowspan="5">14.</td><td>Any financial and non- financial interests which may generate potential conflicts of interests, including:</td><td></td><td></td><td colspan="5"></td></tr>
<tr><td>a) loans, shareholdings and interests or non-financial relations between the assessed person, close relatives thereof or persons closely related thereto and the regulated entity, parent company or its subsidiaries or any person with a qualifying holding in such entity, including any members of such institutions or holder of key functions;</td><td></td><td></td><td colspan="5"></td></tr>
<tr><td>b) details on the existence or absence, in the present or during the last 2 years of business relations with any of the entities or persons specified under letter a) or on the involvement in any judicial proceedings with such entities or persons;</td><td></td><td></td><td colspan="5"></td></tr>
<tr><td>c) details on the existence or absence of any competing interests between the assessed person or his/her relatives and the regulated entity, parent company and its subsidiaries;</td><td></td><td></td><td colspan="5"></td></tr>
<tr><td>d) the assessed person is or is not proposed on behalf of a single significant shareholder;</td><td></td><td></td><td colspan="5"></td></tr>
</table>

	e) details on any financial obligation toward the regulated entity, parent company or its subsidiaries;			
	f) details on any position with political influence (at national or local level) held in the last 2 years and especially on any professional or personal relationship with a public exposed person, as defined in art. 3 para. (2) of Law 129/2019.			
	g) other information which may generate conflicts of interest with the regulated entity.			
15.	Information about minimum time to be dedicated to fulfilling duties within the regulated entity (annual and monthly indications)			
	a) Mandates held in entities pursuing predominantly commercial objectives, including: - potential additional responsibilities associated with such mandates; - number of meetings/gatherings per year and estimated time, in days per year, dedicated to each mandate; - size of the entities or organizations within which such mandates are held, including total assets, irrespective whether the company is listed or not, and number of employees.			
	b) Mandates held in predominantly non-commercial entities or entities exclusively established for managing personal economic interests, including: - potential additional responsibilities associated with such mandates; - number of meetings/gatherings per year and estimated time, in days per year, dedicated to each mandate; - size of the entities or organizations within which such mandates are held, including total assets, irrespective whether the company is listed or not, and number of employees.			
16.	Any other relevant information for proving professional qualification, moral probity and integrity			

I, the undersigned[4] ...., being aware of the provisions of Article 326 of the Criminal Code on the false statements, hereby declare under my own responsibility the following:

a) all the information provided is correct, complete and true;

b) there are no other facts on which the Financial Supervisory Authority (FSA) and the regulated entity should be informed;

c) I comply with the legal provisions in force regarding the activity to be carried out, including the limitation of the number of management positions simultaneously held;

d) I am not in any situation of incompatibility provided by the legislation in force / I am in situations of incompatibility provided by the legislation in force as a result of [5] ... . and I undertake to remove such situation within 30 days of the date of the communication of my approval by the F.S.A., submitting until the expiration of the deadline at the latest the documents proving the removing of such incompatibility.

At the same time, I agree to the processing of personal data6 for the fulfilment by the FSA and the regulated entity of their duties and I undertake to notify F.S.A. and the regulated entity with respect to all changes in the information provided.

Signature ______________

Date __________________

NOTE:
F.S.A. and Transilvania Investments Alliance S.A. keeps the confidentiality of the information contained in this Affidavit, unless otherwise required by law.

[1] The affidavit shall be completed in full by the candidates for the position of member of the Supervisory Board. The persons holding key functions shall not fill in the items 5, 6, 11, 12, 13 and 15.

[2] The holdings representing at least 1% of the voting rights will be presented. In the case of companies admitted to trading, the holdings representing at least 5% of the voting rights will be presented.

[3] Idem item 1

[4] Please fill in the name and surname as shown in the identity document.

[5] Please underline the option chosen and fil in if you are in any such situation.

[6] Regulation (EU) 2016/679 on the protection of natural persons regarding the processing of personal data and the free movement of such data and repealing Directive 95/46/EC.

ANNEX no. 2

AFFIDAVIT
on incompatibility situation in exercising the function as member in the Supervisory Board of Transilvania Investments

I, the undersigned____________________________, being aware of the provisions of article 326 of the Criminal Code regarding false statements, hereby declare under my own responsibility the following:

I comply with the legal provisions in force concerning the exercise of the function of member of the Supervisory Board.

I am not in any of the situations of incompatibility set out by the legislation in force concerning the exercise of the function of member of supervisory board/I am in situation of incompatibility concerning the exercise of the function of member of supervisory board, derived from[1] ___________ and I undertake that within ……. days from the date of my election by the general meeting of shareholders of Transilvania Investments to remove the incompatibility situation and to submit supporting documents revealing the cease of the incompatibility situation.

Signature ____________________

Date _____________________

[1] Presentation of the situation of incompatibility, if applicable.

ANNEX no. 3

AFFIDAVIT
on professional activity

I, the undersigned___________________________, being aware of the provisions of article 326 of the Criminal Code regarding false statements, hereby declare under my own responsibility the following:

a) I have not been /I have been convicted or prosecuted in cases[1] referring to:
   (i) crimes provided for in the financial and banking legislation, including crimes set out by the legislation on money laundering and terrorist financing;
   (ii) crimes against the patrimony or other crimes specific to the economic/financial field;
   (iii) crimes provided for in the fiscal legislation;
   (iv) other crimes provided for in the company law, bankruptcy, insolvency legislation and that on consumer protection.

b) I have not been and I am not subject to past or ongoing administrative investigations or enquiries or actions for enforcement of the law regarding myself for breach of the provisions applicable to the activities governed by the financial and banking legislation[2].

c) I have not been/I have been and I am not/I am subject of relevant enquiries or actions for enforcement of the law taken by other regulatory or professional bodies[3].

Signature _____________________

Date _____________________

1 provide relevant information on the offense sanctioned or prosecuted, the court or the prosecuting authority, sanctions or measures ordered, if applicable.

2 provide relevant information on the offense sanctioned or related to which the investigation or enquiry is carried out, the authority which ordered the sanction or carry out the investigation or enquiry, the sanction imposed, if applicable.

3 provide relevant information on the offence sanctioned or related to which the enquiry is carried out, the regulatory or professional body conducting the investigation which ordered the sanction or carry out the investigation or enquiry, the sanction imposed, if applicable.

ANNEX no. 4

AFFIDAVIT ON INDEPENDENCE

The undersigned ____________________, born on__________, in ________, county _________________, domiciled in____, owner of ID series no._______________, issued by ________________ on ______, Personal Identification Number_______________, in the capacity of candidate to the position of member of the Supervisory Board of Transilvania Investments Alliance S.A., hereby declare that **I fulfil ☐ /I do not fulfil ☐** the following independence criteria used by the Supervisory Board of Transilvania Investments Alliance in assessing the independence of its members, in accordance with art. 138^2 para. 2 of Law 31/1990, as well as with the provisions of the BSE's Corporate Governance Code:

	Criteria for the independence assessment	**YES**	**NO**
a)	He/she is general manager/CEO of the Company or of a company controlled by it and has been in such position for the previous five (5) years		
b)	He/she is an employee of the Company or of a company controlled by it and has been in such position for the previous five (5) years		
c)	He/she receives and has received from the Company or from a company controlled by it additional remuneration or other advantages, apart from those corresponding to the quality of non-executive director		
d)	He/she is a significant shareholder of the Company or represents or has represented in any way a significant shareholder of the Company during the previous year. Same will apply for a controlling shareholder of the significant shareholder of the Company		
e)	He/she has and has had in the previous year business relations with the Company or with a company controlled by it, either directly or as a partner, shareholder, director, executive manager or employee of a company having such relations with the Company, if, by their substantial character, they are likely to affect his/her objectivity		
f)	He/she is or has been in the last three years partner or employee of the current or former external auditor of the Company or of a company controlled by it		
g)	He/she is executive manager in another company in which an executive manager of the Company is a non-executive director		
h)	He/she has been a non-executive director of the Company for more than 3 mandates, but in no circumstances more than 12 years		
i)	He/she has family relations with a person in one of the situations referred to in letters a) and d) above, and has family relations with a person who (i) is or has been in the last five (5) years non-executive Board director or employee of the Company; (ii) has or has had within the last year a significant business relationship with the Company, either directly or as a partner, shareholder, director or employee of an entity having such a relationship; (iii) is or has been in the last three (3) years external auditor (or employee of the external auditor) of the Company; (iv) is member of executive management in a company where the Company's executives serve as board directors.		

Signature _________________

Date __________________

ANNEX no. 5

AFFIDAVIT

ON THE FULFILMENT OF THE CRITERIA PROVIDED BY THE F.S.A. REGULATION NO. 1/2019

I, the undersigned ______________, residing in ___________________________, address ____, identified with ID series__________ no. ____________, Personal Identification Code_____________, in the capacity of candidate to the position of member of the Supervisory Board of Transilvania Investments Alliance S.A. („the Company"),

Being aware of the provisions of article 326 of the Criminal Code regarding false statements, hereby declare under my own responsibility that I fulfil the criteria provided by the F.S.A. Regulation no. 1/2019 for holding and exercising the position of member of the Supervisory Board of Transilvania Investments, including the individual adequacy requirements such as, without limitation thereof, good reputation, knowledge, skills and experience for performing the duties related to the position, honesty, integrity and independent thinking and ability to allocate sufficient time to fulfil the duties.

Signature: ________________ Date: ________________

ANNEX no. 6

AFFIDAVIT

ON CONFLICT OF INTERESTS

I, the undersigned _______________, residing in _____________________________, address _______________________________________, identified with ID series___________ no. _____________, Personal Identification Number______________, in the capacity of candidate to the position of member of the Supervisory Board of Transilvania Investments Alliance S.A. („the Company”),

Being aware of the provisions of article 326 of the Criminal Code regarding false statements hereby declare under my own responsibility that I am in the following conflict-of-interest situations with the Company which are mentioned below ☐ / /I am not in any conflict-of-interest situation with the Company ☐.

…….

Signature: _________________ Date: _________________

ANNEX no. 7

LIST OF PEOPLE WHO CAN PROVIDE REFERENCES ON THE CANDIDATE

Surname and name	*Position held and company name*	*Contact details (email address; phone number)*

Name: ____________________

Signature: ________________ Date: ________________

ANNEX no. 8

LIST OF EXECUTIVE AND NON-EXECUTIVE POSITIONS HELD

I, the undersigned ______________, residing in ___________________________, address ______________________________, identified with ID series__________ no. ____________, Personal Identification Number_____________, hereby present the *List of executive and non-executive positions* held:

No.	***Period***	***Company name***	***Position held***
1.			
2.			
3.			
4.			

In accordance with the Corporate Governance Regulation, the candidates will present their professional commitments and involvements, including executive and non-executive positions in companies, public institutions, public authorities, non-profit organizations and other organizations.

Name: ____________________

Signature: ________________ Date: ________________

ANNEX no. 9

CONSENT FOR THE PROCESSING OF PERSONAL DATA

(given in accordance with Regulation 679/2016 on the protection of natural persons regarding the processing of personal data – GDPR and Law 190 of 18 July 2018 on measures to implement Regulation (EU) 2016/679)

I, the undersigned ____, residing in ___________________________, address ____ phone number _______________, identified with ID series____________no ____________, issued on ___________, I hereby give my free and informed consent for the processing by Transilvania Investments of my personal data resulting from this document and from the documents, acts or copies of the documents submitted to Transilvania Investments as part of the application file for the position of member of the Supervisory Board of Transilvania Investments and, if I will not be elected in this position by the general meeting of shareholders, they will be kept and archived by Transilvania Investments for 2 years.

In accordance with the provisions of Regulation no. 679/2016, Transilvania Investments informed me about its Policy on personal data processing and the *Procedure on personal data processing during the personnel recruitment and selection processes and internships*, and therefore I know:

- **The purpose and the legal basis** of the processing of my personal data;
- **The recipients** of my personal data;
- **The period** for which my personal data will be stored;
- **The rights** relating to my personal data;
- The fact that, for **the exercise of these rights**, I may send a written request to the Company, by mail, to Nicolae Iorga Street no. 2, Brasov, 500057, by fax, no. 0268473215, 0268/473216 or by e-mail at dpo@transilvaniainvestments.ro.
- **I am aware that I have the right to withdraw my consent at any time, without prejudice to the lawfulness of the processing carried out based on the consent given prior to its withdrawal.**

Date, **Signature,**

This document contains personal data processed in accordance with the provisions of Regulation EU 679/2016 and Law 190 of 18 Jul