



**To: Bucharest Stock Exchange
Financial Supervisory Authority**
-Financial Instruments and Investments Sector

CURRENT REPORT

According to Law no. 24/2017 and F.S.A. Regulation no. 5/2018

Report date: 18.11.2020

S.I.F. Transilvania S.A.

Headquarters: 2, Nicolae Iorga Street, Brasov 500057

Telephone: +40 268 415529, 416171; Fax: +40 268 473215, 473216

Tax registration code: RO3047687

Order number in the Trade Register: J08/3306/92

Registration number in the NSC Register: PJR 09 SIIR/080004

LEI Code (Legal Entity Identifier): 254900E2IL36VM93H128

Subscribed and paid-in share capital: RON 216,244,379.70

Regulated market on which the issued securities are traded: Bucharest Stock Exchange (ticker: SIF3)

Important event to be reported: Litigations

SIF Transilvania informs the shareholders and investors that in accordance with the information available on the court's portal:

1. Through Sentence no. 756/2020, delivered by the Brașov Tribunal on 17.11.2020 in File no. 1135/62/2020, the Court:

- Dismissed, as inadmissible, the main application to intervene filed on 04.05.2020 by Mr. Szabo Ștefan, Mr. Carapiti Dumitru and Mr. Luțac Gheorghe. Took note of the withdrawal from the legal proceedings following the motion to withdraw, formulated on 02.06.2020 and reconfirmed on 05.10.2020 by the plaintiff Societatea de Investiții Financiare Transilvania, against the defendants Frățilă Constantin, Andanuț Crinel Valer, Petria Nicolae and Moldovan Marius Adrian.

The Court decision may be appealed within 30 days from the communication date.

We mention that the object of File no. 1135/62/2020 consisted of the request for the annulment of the Resolution no. 1/13.04.2020 of the Supervisory Board of SIF Transilvania.

2. Through Resolution no. 565/2020, delivered by the Constanța Tribunal on 17.11.2020 in File no. 4585/118/2020, the Court:

- Dismissed, as unfounded, the exceptions of the lack of interest of the complaint regarding the petitioner the Financial Supervisory Authority (FSA), as well as the exception of the res judicata authority, invoked by the respondents Frățilă Constantin, the company Administration Stock Financial SRL, Cociu (former Frățilă) Maria-Alexandra, Bobelea Ramona and Simion Dragos. Under art. 341 para. 6 lit. b of the Criminal Procedure Code, the Court dismissed, as unfounded, the complaint formulated by the petitioner the Financial Supervisory Authority (FSA) against the Prosecutor's Ordinance no. 1703/P/2019 issued on 23.03.2020 of the Prosecutor's Office attached to the Constanța Tribunal, which was upheld through the chief prosecutor's ordinance of the Prosecutor's Office attached to the Constanța Tribunal no. 76/II/2/2020 issued on 29.06.2020. The

Court upheld the prosecutor's ordinance no. 1703/P/2019 dated 23.03.2020 of the Prosecutor's Office attached to the Constanța Tribunal.

The Court decision is final.

The object of File consisted of the complaint filed by Financial Supervisory Authority against the Prosecutor's Classification Ordinance no. 1703/P/2019 issued on 23.03.2020. SIF Transilvania was not a party to this file.

Marius-Adrian Moldovan
Executive President/CEO

Radu-Claudiu Rosca
Executive Vice President/Deputy CEO

Loredana - Floriana Baston
Compliance Officer