



**No. 6411 /09.10.2020**

**To: Bucharest Stock Exchange  
Financial Supervisory Authority**  
- Financial Instruments and Investments Sector

**CURRENT REPORT**  
**According to Law no. 24/2017 and F.S.A. Regulation no. 5/2018**  
**Report date: 09.10.2020**

S.I.F. Transilvania S.A.

Headquarters: 2, Nicolae Iorga Street, Brasov 500057

Telephone: +40 268 415529, 416171; Fax: +40 268 473215, 473216

Tax registration code: RO3047687

Order number in the Trade Register: J08/3306/92

Registration number in the NSC Register: PJR 09 SIIR/080004

LEI Code (Legal Entity Identifier): 254900E2IL36VM93H128

Subscribed and paid-in share capital: RON 216,244,379.70

Regulated market on which the issued securities are traded: Bucharest Stock Exchange (ticker: SIF3)

**Important event to be reported: Litigations before the Courts**

SIF Transilvania informs the shareholders and investors that, according to the information available on the courts portal, through Sentence no. 709/08.10.2020, delivered by the Braşov Tribunal in File no. 1263/62/2020, to which the Files no. 1263/62/2020 and no. 1491/62/2020 were linked, the Court:

- ✓ Allowed the exception of res judicata invoked by the plaintiffs Szabo Stefan, Carapiti Dumitru and Lutac Gheorghe. Rejected the exception of lack of capacity as a representative invoked by the defendant Moldovan Marius Adrian. Rejected the exception of lack of capacity as a representative invoked by the defendant Fratila Constantin. Rejected the exception of lack of capacity as a representative invoked by the plaintiffs Szabo Stefan, Carapiti Dumitru and Lutac Gheorghe. Took note of SIF Transilvania's withdrawal from the legal proceedings regarding the application filed and stated against the defendants Fratila Constantin, Andanut Crinel Valer and Moldovan Marius Adrian. Rejected the application filed and stated by the plaintiffs Szabo Stefan, Carapiti Dumitru and Lutac Gheorghe against the defendants Fratila Constantin, Andanut Crinel Valer, Petria Nicolae and Moldovan Marius Adrian.
- ✓ Allowed the exception of res judicata invoked by the plaintiffs Szabo Stefan, Carapiti Dumitru and Lutac Gheorghe in the File no. 1263/62/2020. Rejected the exception of lack of capacity as a representative invoked by the defendant Fratila Constantin in the File no. 1263/62/2020. Rejected the exception of lack of purpose invoked by the defendant Fratila Constantin in the File no. 1263/62/2020. Rejected the exception of lack of capacity as a representative invoked by the plaintiffs Szabo Stefan, Carapiti Dumitru and Lutac Gheorghe in the File no. 1263/62/2020. Took note of SIF Transilvania's withdrawal from the legal proceedings regarding the application filed and stated against the defendants Fratila Constantin, Andanut Crinel Valer, Petria Nicolae and Moldovan Marius Adrian in the File no. 1263/62/2020. Rejected the application filed and stated by the plaintiffs Szabo Stefan, Carapiti Dumitru and Lutac Gheorghe against the defendants Fratila Constantin, Andanut Crinel Valer, Petria

Nicolae and Moldovan Marius Adrian in the File no. 1263/62/2020.

- ✓ Allowed the exception of lack of capacity as a representative invoked by the defendant Fratila Constantin in the File no. 1491/62/2020. Annulled the application filed and stated by the plaintiff SIF Transilvania against the defendants Fratila Constantin, Andanut Crinel Valer, Petria Nicolae and Moldovan Marius Adrian in the File no. 1491/62/2020. Rejected the exception of lack of interest invoked by the defendant Fratila Constantin in the File no. 1491/62/2020. Rejected the application filed and stated by the plaintiffs Szabo Stefan, Carapiti Dumitru and Lutac Gheorghe against the defendants Fratila Constantin, Andanut Crinel Valer, Petria Nicolae and Moldovan Marius Adrian in the File no. 1491/62/2020.

The Court decision was issued with the right to appeal to be filed within 5 days from the issuance date.

We mention that the object of the joined Files no. 1261/62/2020, 1263/62/2020 and 1491/62/2020 consisted of the requests for a presidential ordinance filed for the temporary suspension of the effects of the Resolutions no. 1 and 3 adopted by the Supervisory Board of SIF Transilvania S.A. on 23.04.2020, the Resolution no. 1/27.04.2020, the Resolution no. 6/07.05.2020 and the Resolution no. 2/11.05.2020.

**Marius Adrian Moldovan**  
**Executive President/CEO**

**Radu Claudiu Roşca**  
**Executive Vice President/Deputy CEO**

**Compliance Officer**  
**Loredana-Floriana Baston**